

# **Southern Company Independent Auction Administrator (IAA)**

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Energy Auction Fall Forum, Atlanta, Georgia  
November 05, 2015

- Confidentiality and Independence
- Independent Auction Administrator (IAA)
- OATI webMarket System Administration
- TranServ Contacts

- Confidential Information (Bids, Offers, Transactions, etc.)
  - *Auction Participants are registered under its own company and can only view its company bids/offers, transactions, etc.*
  - *The OATI webMarket safeguard confidential third party bids and offers from other participants by placing separate containers for each company*
  - *Only the Independent Auction Administrator (TranServ) has access to confidential bids and offers with the exception that OATI also has full access to the webMarket, but their login is tracked under an Audit Trail*
  - *The IAA safeguard such confidential information by only assigning 1 primary and 1 secondary auction administrator (TranServ) on the OATI webMarket*
  - *The IAA access third party bids and offers if requested by an authorized entity such as a SOCO Auction Administrator or the Independent Auction Monitor (IAM) and is documented in a Ticket, which explicitly explain who, when, and why*
  - *If third party bids and offers are requested or inadvertently accessed, both the IAA and the IAM entities are notified and IAA document such access in a Ticket*

- Performance of Duties as the IAA
  - *Customer support and an Energy Auction Administrator*
  - *Administer the User/Participant Registration process*
  - *Respond appropriately to inquiries from regulatory entities related to transactions that clear the Energy Auction*
  - *Understands the Federal Energy Regulatory Commission (FERC) requires the IAA and IAM have bilateral access to confidential information*
  - *Enforce confidentiality requirements and notifications with regards to the release of confidential information*
  - *Manage, safeguard, and store confidential information such as bids, offers, and other transaction-related information, including the participation agreements and user registration materials*

- Performance of Duties as the IAA
  - *Provide historical (6 months prior) Day-Ahead (DA) and Hour-Ahead (HA) bids and offers information on the 23rd of each month to Southern Company for posting on the Southern Company Market Auction website*
  - *Participate in the annual audit review by the Independent Auction Monitor (IAM) and provides data as requested by Southern Company Auction administrator and Brattle Group*
  - *Documents all notifications from Southern Company regarding disclosing of confidential data/information*

- Performance of Duties as the webMarket System Administrator
  - *Participate in testing and training for new or changed auction/software functions*
  - *Authorize and schedule software enhancements*
  - *Communicate to OATI requests regarding software operations and system outages*
  - *Problems reported or suspected with webMarket is evaluated and reported to OATI for resolution*
  - *Document assistance requests in customer Tickets*
  - *Register auction company participants*
  - *Create company administrator users for participating companies.*
  - *Enable or disable energy auctions as requested*
  - *webMarket auction configurations*
  - *webMarket DA auction/market settings for North American Electricity Reliability Corporation (NERC) approved holidays*
  - *Ensures the OATI webMarket software is configured and operates in accordance with Auction Rules*

- Questions on Auction Registration, Adding Users, Setting Up Credit, and other general questions contact:
  - *TranServ International Support Desk*
    - Phone: 763.205.7099
    - Email: [Support@TranServInternational.net](mailto:Support@TranServInternational.net)

# Questions



# Thank You

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